

NAUPA II Securities Property Type Code Redefinition

This table details contrasts between California's unique reporting format, the NAUPA II standard, and the NAUPA II reporting program with California specifications. Redefinition of the NAUPA securities codes was necessary to accommodate California's need for securities issue types.

Other California Reporting Formats		NAUPA Reporting Formats		
SCO Property Code	Issue Type	NAUPA II Property Code	NAUPA II Standard Definition	New California Definition for NAUPA II
04	blank	SC01	Dividends	Same
17	blank	SC02	Interest (Bond Coupons)	Same
16	blank	SC03	Principal Payments	Municipal Bond Earnings
07	blank	SC04	Equity Payments	Municipal Bonds
02	U	SC05	Profits	Units
06	C	SC06	Funds Pd to Purchase Shares	Liquidating Fund - Securities
76	blank	SC07	Funds for Stocks & Bonds	Same
11	P	SC08	Shares of Stock (Returned by Post Office)	Preferred Securities
01	blank	SC09	Cash in Lieu of Fractional Shares	Same
10	C	SC10	Unexchanged Stock of Successor Corp	Shares Exchanged for Shares
11	C	SC11	Other Cert of Ownership	Common Securities
11	I	SC12	Underlying Shares or Other Outstanding Certificates	Beneficial Interest
15	blank	SC13	Liquidating Fund Earnings	Same (Unsurrendered Stocks or Bonds)
03	D	SC14	Debentures	Same
03	B	SC15	US Government Securities/Bonds	Same
08	blank	SC16	Mutual Funds	Same
13	W	SC17	Warrants (Rights)	Same
08	blank	SC18	Mature Bond Principal	Mutual Bond Principal
14	R	SC19	Dividend Reinvestment Plan	Rights
15	blank	SC20	Credit Balances	Liquidating Funds Earnings (Credit Balances)